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| APPLICATION NO.                           | FILING DATE                           | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.  | CONFIRMATION NO. |  |
|---|---------------------------------------|----------------------|----------------------|------------------|--|
| 10/536,777                                | 09/07/2006                            | Karl Groke           | 23304                | 2869             |  |
|   | 535 7590 10/16/2008<br>K.F. ROSS P.C. |                      |                      | EXAMINER         |  |
| 5683 RIVERDALE AVENUE                     |                                       |                      | STONE, CHRISTOPHER R |                  |  |
| SUITE 203 BOX 900<br>BRONX, NY 10471-0900 |                                       |                      | ART UNIT             | PAPER NUMBER     |  |
|   |                                       |                      | 1614                 |                  |  |
|   |                                       |                      |                      |                  |  |
|   |                                       |                      | MAIL DATE            | DELIVERY MODE    |  |
|   |                                       |                      | 10/16/2008           | PAPER            |  |

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|  | Application No.   | Applicant(s) |  |  |  |  |  |
|--|---|--------------|--|--|--|--|--|
|  | 10/536,777  | GROKE ET AL. |  |  |  |  |  |
| Office Action Summary  | Examiner  | Art Unit     |  |  |  |  |  |
|  | CHRISTOPHER R. STONE  | 1614         |  |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address<br>Period for Reply  |   |              |  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |              |  |  |  |  |  |
| Status   |   |              |  |  |  |  |  |
| 1)⊠ Responsive to communication(s) filed on <u>09 Ju</u>   | ne 2008   |              |  |  |  |  |  |
|  | action is non-final.  |              |  |  |  |  |  |
| <i>,</i> —   | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is |              |  |  |  |  |  |
|  | closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.               |              |  |  |  |  |  |
| Disposition of Claims  |   |              |  |  |  |  |  |
| 4)⊠ Claim(s) <u>1-15 and 18-22</u> is/are pending in the a   | 4)⊠ Claim(s) <u>1-15 and 18-22</u> is/are pending in the application.   |              |  |  |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.   |   |              |  |  |  |  |  |
| 5)⊠ Claim(s) <u>1-13 and 21</u> is/are allowed.  |   |              |  |  |  |  |  |
| 6)⊠ Claim(s) <u>14, 15, 18-20 and 22</u> is/are rejected.  |   |              |  |  |  |  |  |
| 7) Claim(s) is/are objected to.  |   |              |  |  |  |  |  |
| •  |   |              |  |  |  |  |  |
| Application Papers   |   |              |  |  |  |  |  |
| 9)☐ The specification is objected to by the Examiner.  |   |              |  |  |  |  |  |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.   |   |              |  |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |   |              |  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |   |              |  |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |              |  |  |  |  |  |
| Priority under 35 U.S.C. § 119   |   |              |  |  |  |  |  |
|  |   |              |  |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:   |   |              |  |  |  |  |  |
| a)   |   |              |  |  |  |  |  |
|  |   |              |  |  |  |  |  |
| <ul> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage</li> </ul>  |   |              |  |  |  |  |  |
| application from the International Bureau (PCT Rule 17.2(a)).  |   |              |  |  |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.   |   |              |  |  |  |  |  |
| God the attached actailed chief attached and of the continue copies het received.  |   |              |  |  |  |  |  |
| Attacker and a   |   |              |  |  |  |  |  |
| Attachment(s)  1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)   |   |              |  |  |  |  |  |
| 2) Notice of Praftsperson's Patent Drawing Review (PTO-948)  4) Interview Summary (PTO-413)  Paper No(s)/Mail Date   |   |              |  |  |  |  |  |
| 3) Information Disclosure Statement(s) (PTO/SB/08) 5) Notice of Informal Patent Application  |   |              |  |  |  |  |  |
| Paper No(s)/Mail Date 6)   |   |              |  |  |  |  |  |

### **DETAILED ACTION**

Applicants' arguments, filed June 6, 2008, have been fully considered. Rejections and/or objections not reiterated from previous office actions are hereby withdrawn. The following rejections and/or objections are either reiterated or newly applied. They constitute the complete set presently being applied to the instant application.

## Rejoinder

Claims 1-13 and 21 are directed to an allowable product. Pursuant to the procedures set forth in MPEP § 821.04(B), claims 14, 15, 18-20 and 22, directed to the process of making or using an allowable product, previously withdrawn from consideration as a result of a restriction requirement, are hereby rejoined and fully examined for patentability under 37 CFR 1.104.

Because all claims previously withdrawn from consideration under 37 CFR 1.142 have been rejoined, the restriction requirement as set forth in the Office action mailed on December 27, 2007 is hereby withdrawn. In view of the withdrawal of the restriction requirement as to the rejoined inventions, applicant(s) are advised that if any claim presented in a continuation or divisional application is anticipated by, or includes all the limitations of, a claim that is allowable in the present application, such claim may be subject to provisional statutory and/or nonstatutory double patenting rejections over the claims of the instant application. Once the restriction requirement is withdrawn, the provisions of 35 U.S.C. 121 are no longer applicable. See *In re Ziegler*, 443 F.2d 1211, 1215, 170 USPQ 129, 131-32 (CCPA 1971). See also MPEP § 804.01.

# Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 14 and 15 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 14 is drawn to a composition having its pH adjusted "somewhat above". It is unclear what pH values above 4 the claim is intended to encompass.

Claim 15 is drawn to a mixture put in a desired form, "especially as a particle granulate, in tablets..." It is unclear if the claim intends to encompass only the named forms, (i.e. only granules, tablets, etc.) or those forms as well as others.

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 18-20 and 22 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for the treatment of breast, uterine, esophageal, bladder and lung cancer, does not reasonably provide enablement for the treatment of other cancers. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to practice the invention commensurate in scope with these claims.

Claims 18-20 and 22 are drawn to a method of treating a malignant tumor comprising administering the composition of claim 1. The prior art indicates that cancer

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is a group of maladies not treatable with one medicament or therapeutic regimen. No single chemotherapeutic drug is useful for the treatment of every tumor. In fact, some types of cancer do not respond well to any known chemotherapeutic drugs (see Oxford Textbook of Oncology, p. 451, Column 2, last paragraph, cited in the Notice of Reference Cited, mailed March 17, 2008). These negative results indicate a lack of predictability in the art. Furthermore the Applicant has provided no working examples demonstrating the efficacy of this treatment on tumors, other than breast, uterine, esophageal, bladder and lung (p. 20-28). For these reasons, it would take undue experimentation by one of ordinary skill in the art to use this method to treat cancers, other than breast, uterine, esophageal, bladder and lung, with a reasonable expectation of success.

### Allowable Subject Matter

Claims 1-13 and 21 are allowed.

#### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the

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shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to CHRISTOPHER R. STONE whose telephone number is (571)270-3494. The examiner can normally be reached on Monday-Thursday, 7:30am-4:00pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ardin H. Marschel can be reached on (571) 272-0718. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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/Ardin Marschel/ Supervisory Patent Examiner, Art Unit 1614